the Wolfsberg Group

Financial Institution Name:

CA Indosuez Wealth Group (France)

Location (Country):

17 rue du Docteur Lancereaux, 75382 Paris cedex 08

The questionnaire is required to be answered on a Legal Entity (LE) Level. This means the Financial Institution will answer the questionnaire at an ultimate parent / head office & subsidiary level for which any branches would be considered covered by that parent/subsidiary DDQ. This questionnaire should not cover more than one LE. Each question in the DDQ will need to be addressed from the perspective of the LE and on behalf of all of its branches. If a response for the LE differed for one of its branches this needs to be highlighted and detail regarding this difference captured at the end of each subsection. If a branch business activity (products offered, client base etc.) is significantly different than its head office, the branch should complete a separate questionnaire.

1. EN	ITITY & OWNERSHIP	
	THE CONTRACTOR	
1	Full Legal Name	
		CA Indosuez Wealth (France)
2	Append a list of branches which are covered by this questionnaire	
3	Full Legal (Registered) Address	CA Indosuez Wealth (France) doesn't have any foreign branch
	an Logar (Nogaratos) / National	
4	Full Primary Business Address (if different from above)	17 rue du Docteur Lancereaux, 75382 Paris cedex 08
5	Date of Entity incorporation/ establishment	
		01/01/1957
6	Select type of ownership and append an ownership chart if available	
6 a	Publicly Traded (25% of shares publicly traded)	No
6 a1	If Y, indicate the exchange traded on and ticker symbol	
6 b	Member Owned/ Mutual	No
6 c	Government or State Owned by 25% or more	No
6 d	Privately Owned	Yes
6 d1	If Y, provide details of shareholders or ultimate beneficial owners with a holding of 10% or more	CA INDOSUEZ WEALTH (GROUP) - 99,9% (CA INDOSUEZ WEALTH is an entity owned by CACIB, which is itself owned by Crédit Agricole SA) Compagnie Française de l'Asie - 0,01% Fininvest - 0,01% Société des Chaux Hydrauliques du Lang-Thō - 0,01%
7	% of the Entity's total shares composed of bearer shares	N/A
8	Does the Entity, or any of its branches, operate under an Offshore Banking License (OBL)?	No
а	If Y, provide the name of the relevant branch/es which operate under an OBL	
9	Name of primary financial regulator / supervisory authority	
10	Provide Legal Entity Identifier (LEI) if available	Autorité de Contrôle Prudentiel et de Résolution
		969500IHDSNRRY5LDB67

4.4	Desired the full level serve of the ultimate parent	
11	Provide the full legal name of the ultimate parent	
	(if different from the Entity completing the DDQ)	
		Crédit Agricole SA
12	Jurisdiction of licensing authority and regulator	Ordan Agricale on
12	of ultimate parent	
	or unimate parent	France
		Autorité de Contrôle Prudentiel et de Résolution
		Banque Centrale Européenne
13	Select the business areas applicable to the	
	Entity	
13 a	Retail Banking	No
13 b	Private Banking / Wealth Management	Yes
	Commercial Banking	No
13 c		No.
13 d	Transactional Banking	No .
13 e	Investment Banking	No
13 f	Financial Markets Trading	No
13 g	Securities Services / Custody	No
13 h	Broker / Dealer	No
13 i	Multilateral Development Bank	No
13 j	Other	
, ,		
14	Does the Entity have a significant (10% or more)	
	offshore customer base, either by number of	
l	customers or by revenues (where off-shore	
l	means not domiciled in the jurisdiction where	
l	bank services are being provided)?	
	bank services are being provided) :	No
14 a	If Y, provide details of the country and %	
'~"	in 1, provide details of the country und 70	
l		
l.		
15	Select the closest value:	
15 a	Number of employees	201-500
15 b	Total Assets	Greater than \$500 million
	Confirm that all responses provided in the	Greater than 9000 minor
16		
	above Section ENTITY & OWNERSHIP are	
	representative of all the LE's branches	Yes
16 a	If N, clarify which questions the difference/s	
l	relate to and the branch/es that this applies to	
l		
16 b	If appropriate, provide any additional information	
	/ context to the answers in this section.	

2 00	ODUCTS & SERVICES	
	ODUCTS & SERVICES	
17	Does the Entity offer the following products and	
	services:	
17 a	Correspondent Banking	No
17 a1	If Y	
17 a2	Does the Entity offer Correspondent Banking	
	services to domestic banks?	No
17 a3	Does the Entity allow domestic bank clients to	
	provide downstream relationships?	No
17 a4	Does the Entity have processes and procedures	
1	in place to identify downstream relationships	
	with domestic banks?	No
17 a5	Does the Entity offer correspondent banking	
	services to Foreign Banks?	No
17 a6	Does the Entity allow downstream relationships	
1	with Foreign Banks?	No
17 a7	Does the Entity have processes and procedures	
I'' "'	in place to identify downstream relationships	
1	with Foreign Banks?	No.
47 -0		No
17 a8	Does the Entity offer correspondent banking	N-
	services to regulated MSBs/MVTS?	No
17 a9	Does the Entity allow downstream relationships	
	with MSBs/MVTS?	No
17 a10		
l .	in place to identify downstream relationships	
	with MSB /MVTS?	No
17 b	Private Banking (domestic & international)	Yes
17 c	Trade Finance	No
17 d	Payable Through Accounts	No
17 e	Stored Value Instruments	No
17 f	Cross Border Bulk Cash Delivery	No
17 a	Domestic Bulk Cash Delivery	No.
17 h	International Cash Letter	No
17 i	Remote Deposit Capture	No
17 i	Virtual /Digital Currencies	No
17 k	Low Price Securities	No No
171	Hold Mail	
		Yes
17 m		No
17 n	Service to walk-in customers (non-account	
<u> </u>	holders)	No
17 o	Sponsoring Private ATMs	No
17 p	Other high risk products and services identified	
l	by the Entity	
l		
l		No.
40	Confirm that all respondsid-direct	No
18	Confirm that all responses provided in the	
	above Section PRODUCTS & SERVICES are	
10	representative of all the LE's branches	Yes
18 a	If N, clarify which questions the difference/s	
	relate to and the branch/es that this applies to	
40.5	If appropriate provide any additional information	
18 b	If appropriate, provide any additional information	
	/ context to the answers in this section	

741 - 415		
	ITI BRIBERY & CORRUPTION	
25	Has the Entity documented policies and procedures consistent with applicable ABC regulations and requirements to [reasonably] prevent, detect and report bribery and corruption?	Yes
26	Does the Entity have an enterprise wide programme that sets minimum ABC standards?	Yes
27	Has the Entity appointed a designated officer or officers with sufficient experience/expertise responsible for coordinating the ABC programme?	Yes
28	Does the Entity have adequate staff with appropriate levels of experience/expertise to implement the ABC programme?	Yes
29	Is the Entity's ABC programme applicable to:	
29 a	Joint ventures	No
29 b	Third parties acting on behalf of the Entity	Yes
30	Does the Entity have a global ABC policy that:	
30 a	Prohibits the giving and receiving of bribes? This includes promising, offering, giving, solicitation or receiving of anything of value, directly or indirectly, if improperly intended to influence action or obtain an advantage	Yes
30 b	Includes enhanced requirements regarding interaction with public officials?	Yes
30 c	Includes a prohibition against the falsification of books and records (this may be within the ABC policy or any other policy applicable to the Legal Entity)?	Yes
31	Does the Entity have controls in place to monitor the effectiveness of their ABC programme?	No
32	Does the Entity's Board or Senior Management Committee receive regular Management Information on ABC matters?	Yes
33	Does the Entity perform an Enterprise Wide ABC risk assessment?	Yes
33 a	If Y select the frequency	12 Months
34	Does the Entity have an ABC residual risk rating that is the net result of the controls effectiveness and the inherent risk assessment?	No

35 35 a	Does the Entity's ABC EWRA cover the inherent risk components detailed below:	
15 a	Insk components detailed below.	
35 a		
	Potential liability created by intermediaries and	
,5 4	other third-party providers as appropriate	
	other triird-party providers as appropriate	Yes
35 b	Corruption risks associated with the countries	163
น อธ	and industries in which the Entity does	
	business, directly or through intermediaries	Yes
35 c	Transactions, products or services, including	Tes
35 C		
	those that involve state-owned or state-	V
25 4	controlled entities or public officials	Yes
35 d	Corruption risks associated with gifts and	
	hospitality, hiring/internships, charitable	
	donations and political contributions	Yes
35 е	Changes in business activities that may	
	materially increase the Entity's corruption risk	
	5 " 5 " 1 " 1 " " " " " " " " " " " " "	Yes
36	Does the Entity's internal audit function or other	
	independent third party cover ABC Policies and	
	Procedures?	Yes
37	Does the Entity provide mandatory ABC training	
	to:	
37 a	Board and senior Committee Management	Yes
37 b	1st Line of Defence	
		Yes
37 c	2nd Line of Defence	Yes
37 d	3rd Line of Defence	Yes
	Out and the transfer and the constitution	Yes
37 e	3rd parties to which specific compliance	
	activities subject to ABC risk have been	
	outsourced	
		No
37 f	Non-employed workers as appropriate	
	(contractors/consultants)	
		No
38	Does the Entity provide ABC training that is	
30	targeted to specific roles, responsibilities and	
	activities?	
	activities	
		No .
39	Confirm that all responses provided in the	
	above Section Anti Bribery & Corruption are	
	representative of all the LE's branches	
		Yes
39 a	If N, clarify which questions the difference/s	
	relate to and the branch/es that this applies to	
39 b	If appropriate, provide any additional information	
	/ context to the answers in this section.	

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40 b Terrorist financing			
40 c. Sanctions violations with a fact the first's policies and procedures updated by a fact that they so policies and procedures gaped at a feet and so gaped at		Money laundering	Yes
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22 b EU Standards No.	42 a1		
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6 AM	L, CTF & SANCTIONS RISK ASSESSME	
47	Does the Entity's AML & CTF EWRA cover the	
	inherent risk components detailed below:	
47 a	Client	
47 b	Product	Yes
47 c	Channel	Yes
		Yes
47 d	Geography	Yes
48	Does the Entity's AML & CTF EWRA cover the controls effectiveness components detailed below:	
48 a	Transaction Monitoring	Yes
48 b	Customer Due Diligence	Yes
48 c	PEP Identification	Yes
48 d	Transaction Screening	Yes
48 e	Name Screening against Adverse Media & Negative News	Yes
48 f	Training and Education	Yes
48 g	Governance	Yes
48 h	Management Information	
49	Has the Entity's AML & CTF EWRA been	Yes
	completed in the last 12 months?	Yes
49 a	If N, provide the date when the last AML & CTF EWRA was completed.	
50	Does the Entity's Sanctions EWRA cover the inherent risk components detailed below:	
50 a	Client	Yes
50 b	Product	Yes
50 c	Channel	Yes
50 d	Geography	Yes
51	Does the Entity's Sanctions EWRA cover the controls effectiveness components detailed below:	Tes
51 a	Customer Due Diligence	Yes
51 b	Transaction Screening	
51 c	Name Screening	Yes
51 d	List Management	Yes
	Training and Education	Yes
51 e		Yes
51 f	Governance	Yes
51 g	Management Information	Yes
52	Has the Entity's Sanctions EWRA been completed in the last 12 months?	Yes
52 a	If N, provide the date when the last Sanctions EWRA was completed.	
53	Confirm that all responses provided in the above Section AML, CTF & SANCTIONS RISK ASSESSMENT are representative of all the LE's branches	200
53 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	Yes
53 b	If appropriate, provide any additional information / context to the answers in this section.	

7. KY	C, CDD and EDD	THE RESIDENCE OF THE PARTY OF T
54	Does the Entity verify the identity of the customer?	
55	Do the Entity's policies and procedures set out when CDD must be completed, e.g. at the time of onboarding or within 30 days	Yes
56	Which of the following does the Entity gather and retain when conducting CDD? Select all that apply:	
56 a	Ownership structure	Yes
56 b	Customer identification	Yes
56 c	Expected activity	
56 d	Nature of business/employment	Yes
56 e	Product usage	Yes
56 f	Purpose and nature of relationship	Yes
56 g	Source of funds	Yes
56 h	Source of wealth	Yes
57	Are each of the following identified:	Yes
57 a	Ultimate beneficial ownership	
57 a1	Are ultimate beneficial owners verified?	Yes
57 b	Authorised signatories (where applicable)	Yes
	Key controllers	Yes
57 c	,	Yes
57 d	Other relevant parties	
58	What is the Entity's minimum (lowest) threshold applied to beneficial ownership identification?	10%
59	Does the due diligence process result in customers receiving a risk classification?	Yes
60	If Y, what factors/criteria are used to determine the customer's risk classification? Select all that apply:	Tes
60 a	Product Usage	Yes
60 b	Geography	Yes
60 c	Business Type/Industry	Yes
60 d	Legal Entity type	
60 e	Adverse Information	Yes
60 f	Other (specify)	Yes
		Activity

61	Does the Entity have a risk based approach to screening customers for adverse media/negative news?	
62	If Y, is this at:	Yes
62 a	Onboarding	V
62 b	KYC renewal	Yes
62 c	Trigger event	Yes
63	What is the method used by the Entity to screen	Yes
	for adverse media / negative news?	
63 a	Automated	No
63 b	Manual	Yes
63 c	Combination of automated and manual	No
64	Does the Entity have a risk based approach to screening customers and connected parties to determine whether they are PEPs, or controlled by PEPs?	Yes
65	If Y, is this at:	
65 a	Onboarding	Yes
65 b	KYC renewal	Yes
65 c	Trigger event	Yes
66	What is the method used by the Entity to screen PEPs?	
66 a	Automated	No
66 b	Manual	No
66 c	Combination of automated and manual	Yes
67	Does the Entity have policies, procedures and processes to review and escalate potential matches from screening customers and connected parties to determine whether they are PEPs, or controlled by PEPs?	
	Door the Entity have a present to review and	Yes
68	Does the Entity have a process to review and update customer information based on:	
68 a	KYC renewal	Yes
68 b	Trigger event	Yes
69	Does the Entity maintain and report metrics on current and past periodic or trigger event due diligence reviews?	Yes

70 a 70 b 70 c	From the list below, which categories of customers or industries are subject to EDD and/or are restricted, or prohibited by the Entity's FCC programme? Non-account customers	
70 b 70 с	Non-account customers	
70 c		Prohibited
	Offshore customers	Prohibited
	Shell banks	
70 d	MVTS/ MSB customers	Prohibited
70 e	PEPs	Prohibited
70 f	PEP Related	EDD & Restricted on a risk based approach
70 g	PEP Close Associate	EDD & Restricted on a risk based approach
70 h	Correspondent Banks	EDD & Restricted on a risk based approach
70 h1	If EDD or EDD & Restricted, does the EDD	Prohibited
70111	assessment contain the elements as set out in the Wolfsberg Correspondent Banking Principles 2014?	Yes
70 i	Arms, defense, military	
70 j	Atomic power	EDD & Restricted on a risk based approach
70 k	Extractive industries	EDD & Restricted on a risk based approach
70 I	Precious metals and stones	EDD & Restricted on a risk based approach
70 m	Unregulated charities	EDD & Restricted on a risk based approach
70 n	Regulated charities	Prohibited
70 o	Red light business / Adult entertainment	EDD & Restricted on a risk based approach
70 p	Non-Government Organisations	Prohibited
	Virtual currencies	EDD & Restricted on a risk based approach
70 q		Prohibited
70 r		Prohibited
70 s		Prohibited
70 t		EDD & Restricted on a risk based approach
70 u	Payment Service Provider	EDD & Restricted on a risk based approach
70 v		Art work - EDD & Restricted on a risk based approach Shareholder or professional sports company executive - EDD & Restricted on a risk based approach Professional athlete agent - EDD & Restricted on a risk based approach Bank, insurance, wealth management, traders framework - EDD & Restricted on a risk based approach
71	If restricted, provide details of the restriction	
72	Does the Entity perform an additional control or quality review on clients subject to EDD?	Yes
73	Confirm that all responses provided in the above Section KYC, CDD and EDD are representative of all the LE's branches	Yes
73 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to	
73 b	If appropriate, provide any additional information / context to the answers in this section.	

8. MO	NITORING & REPORTING	
74	Does the Entity have risk based policies,	
	procedures and monitoring processes for the	
	identification and reporting of suspicious	
	activity?	Yes
75	What is the method used by the Entity to	103
	monitor transactions for suspicious activities?	
75 a	Automated	
		Yes
75 b	Manual	
75 c	Combination of automated and manual	
76	If manual or combination selected, specify what	
	type of transactions are monitored manually	
77	Does the Entity have regulatory requirements to	
	report currency transactions?	
		Yes
77 a	If Y, does the Entity have policies, procedures	
	and processes to comply with currency reporting	
	requirements?	Yes
78	Does the Entity have policies, procedures and	1.00
	processes to review and escalate matters	
	arising from the monitoring of customer	
	transactions and activity?	
70	Confirm that all appropriate to the transfer of	Yes
79	Confirm that all responses provided in the above Section MONITORING & REPORTING	
	are representative of all the LE's branches	
	are representative of all the EE's brahones	
		Yes
79 a	If N, clarify which questions the difference/s	
	relate to and the branch/es that this applies to	
79 b	If appropriate, provide any additional information	
	/ context to the answers in this section,	
	YMENT TRANSPARENCY	
80	Does the Entity adhere to the Wolfsberg Group	
	Payment Transparency Standards?	Yes
81	Does the Entity have policies, procedures and	
	processes to [reasonably] comply with and have	
	controls in place to ensure compliance with:	
81 a	FATF Recommendation 16	
		Yes
81 b	Local Regulations	Yes
81 b1	Specify the regulation	
		UN, EU reglementation and, Domestic authorities,
81 c	If N, explain	
82	Does the Entity have processes in place to	
	respond to Request For Information (RFIs) from	,
	other entities in a timely manner?	
		Yes
83	Does the Entity have controls to support the	DOG!
	inclusion of required and accurate originator	
	information in international payment messages?	
		Yes
		169

84	Does the Entity have controls to support the	
1	inclusion of required beneficiary in international	I
1	payment messages?	
1	payment messages?	
		Yes
85	Confirm that all responses provided in the	Tes
100		
1	above Section PAYMENT TRANSPARENCY	
1	are representative of all the LE's branches	
1		
		Yes
85 a	If N, clarify which questions the difference/s	
1	relate to and the branch/es that this applies to:	
1		
1		
85 b	If appropriate, provide any additional information	
1	/ context to the answers in this section.	
1	N X	
1		
10. S	ANCTIONS	
86	Does the Entity have a Sanctions Policy	
100		
1	approved by management regarding	
1	compliance with sanctions law applicable to the	
1	Entity, including with respect its business	
1	conducted with, or through accounts held at	
1	foreign financial institutions?	
1		Va se
-	Dane the February Co.	Yes
87	Does the Entity have policies, procedures, or	
1	other controls reasonably designed to prevent	
1	the use of another entity's accounts or services	
ı	in a manner causing the other entity to violate	
l.	sanctions prohibitions applicable to the other	
1	entity (including prohibitions within the other	
	entity's local jurisdiction)?	
		Yes
88	Does the Entity have policies, procedures or	
l	other controls reasonably designed to prohibit	
l	and/or detect actions taken to evade applicable	
ı	sanctions prohibitions, such as stripping, or the	
l		
	resubmission and/or masking, of sanctions	
	relevant information in cross border	
l	transactions?	
		Yes
89	Does the Entity screen its customers, including	
	beneficial ownership information collected by	
l	the Entity, during onboarding and regularly	
l		
l	thereafter against Sanctions Lists?	
		Yes
90	What is the method used by the Entity?	
90 a	Manual	
90 b	Automated	Voo.
		Yes
90 c	Combination of Automated and Manual	
91	Does the Entity screen all sanctions relevant	
I	data, including at a minimum, entity and location	
I	information, contained in cross border	
ı	transactions against Sanctions Lists?	
	and a game, outlotted a local	Yes
92	What is the method used by the Entity?	
92 a	Manual	
92 b	Automated	Yes
92 c	Combination of Automated and Manual	
93	Select the Sanctions Lists used by the Entity in	
	its sanctions screening processes:	
93 a	Consolidated United Nations Security Council	
	Sanctions List (UN)	
		Used for screening customers and beneficial owners and for filtering transactional data
93 b	United States Department of the Treasury's	
	Office of Foreign Assets Control (OFAC)	
	Silica of Foldight Added Control (Of AC)	Used for screening customers and beneficial owners and for filtering transactional data
93 с	Office of Financial Sanctions Implementation	
	HMT (OFSI)	
	(5. 5.)	
93 d	European Union Consolidated List (EU)	
		Used for screening customers and beneficial owners and for filtering transactional data
93 е	Lists maintained by other G7 member countries	
		Used for screening customers and beneficial owners and for filtering transactional data
		g

	[0]	
93 f	Other (specify)	
		List of the general direction of the French Treasury
94	When new entities and natural persons are	
	added to sanctions lists, how many business days before the Entity updates its lists?	
		Same day to 2 days
95	When updates or additions to the Sanctions Lists are made, how many business days before	
	the Entity updates their active manual and/or	
	automated screening systems against:	
95 a	Customer Data	
95 b	Transactions	Same day to 2 days
50 5	, and addition	
00	December Entity house a physical proposes of	Same day to 2 days
96	Does the Entity have a physical presence, e.g., branches, subsidiaries, or representative offices	
	located in countries/regions against which UN,	
	OFAC, OFSI, EU and G7 member countries have enacted comprehensive jurisdiction-based	
	Sanctions?	No
97	Confirm that all responses provided in the above Section SANCTIONS are representative	
	of all the LE's branches	Yes
97 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to	
	relate to and the branchies that this applies to	
97 b	If appropriate, provide any additional information	
	/ context to the answers in this section.	
11. T	RAINING & EDUCATION	
98	Does the Entity provide mandatory training, which includes :	
98 a	Identification and reporting of transactions to government authorities	
		Yes
98 b	Examples of different forms of money laundering, terrorist financing and sanctions	
	violations relevant for the types of products and	
	services offered	Yes
98 c	Internal policies for controlling money	
	laundering, terrorist financing and sanctions violations	
		Yes
98 d	New issues that occur in the market, e.g., significant regulatory actions or new regulations	
	,	Yes
98 e	Conduct and Culture	
99	Is the above mandatory training provided to	
99 a	Board and Senior Committee Management	Yes
99 b	1st Line of Defence	Yes
99 c	2nd Line of Defence	
99 d	3rd Line of Defence	Yes
99 e	3rd parties to which specific FCC activities have	Yes
	been outsourced	No
99 f	Non-employed workers	
	(contractors/consultants)	No
100	Does the Entity provide AML, CTF & Sanctions	
	training that is targeted to specific roles, responsibilities and high risk products, services	
	and activities?	Vac
		Yes

lani	In the Fall County	
101	Does the Entity provide customised training for	
	AML, CTF and Sanctions staff?	
		Yes
102	Confirm that all responses provided in the	
	above Section TRAINING & EDUCATION are	
ı	representative of all the LE's branches	
		Yes
102 a	If N, clarify which questions the difference/s	
	relate to and the branch/es that this applies to	
102 b	If appropriate, provide any additional information	
	/ context to the answers in this section.	!
12 O	UALITY ASSURANCE /COMPLIANCE TE	STING
103	Are the Entity's KYC processes and documents	
	subject to quality assurance testing?	
	,	
		Yes
104	Does the Entity have a program wide risk based	
	Compliance Testing process (separate to the	
	independent Audit function)?	
		Yes
105	Confirm that all responses provided in the	163
	above Section QUALITY ASSURANCE /	
	COMPLIANCE TESTING are representative of	
	all the LE's branches	
		Yes
105 a	If N, clarify which questions the difference/s	
	relate to and the branch/es that this applies to	
105 b	If appropriate, provide any additional information	
	/ context to the answers in this section.	
		l l
13. AL	IDIT	
106	In addition to inspections by the government	
	supervisors/regulators, does the Entity have an	
	internal audit function, a testing function or other	
	independent third party, or both, that assesses	
	FCC AML, CTF and Sanctions policies and	
	practices on a regular basis?	
		Yes
107	How often is the Entity audited on its AML, CTF	
	& Sanctions programme by the following:	
	·	
107 a	Internal Audit Department	Vaadu.
107 b	External Third Party	Yearly
.07.0		Component based reviews
		`

108	Does the internal audit function or other independent third party cover the following areas:	
108 a	AML, CTF & Sanctions policy and procedures	Yes
108 b	KYC / CDD / EDD and underlying methodologies	Yes
108 c	Transaction Monitoring	Yes
108 d	Transaction Screening including for sanctions	Yes
108 e	Name Screening & List Management	Yes
108 f	Training & Education	Yes
108 g	Technology	Yes
108 h	Governance	Yes
108 i	Reporting/Metrics & Management Information	Yes
108 j	Suspicious Activity Filing	Yes
108 k	Enterprise Wide Risk Assessment	Yes
108 I	Other (specify)	to the state of th
109	Are adverse findings from internal & external audit tracked to completion and assessed for adequacy and completeness?	Yes
110	Confirm that all responses provided in the above section, AUDIT are representative of all the LE's branches	Yes
110 a	If N, clarify which questions the difference/s relate to and the branch/es that this applies to.	
110 b	If appropriate, provide any additional information / context to the answers in this section.	

Declaration Statement	
Wolfshera Group Correspondent Banking Due I CA Indosuez Wealth (France) Anti- Ivioney Laundering, Unier Compilance Οπί	Diligence Questionnaire 2018 (CBDDQ V1.2) Correspondent Banking or equivalent position holder AND Group Money Laundering Prevention Officer, Global Head of Cer, Global Head of Cer, Global Head of Financial Crimes Compliance OR equivalent)
Symposis .	(Bank name) is fully committed to the fight against financial crime and makes
every effort to remain in full compliance with all CA Indosuez Wealth (France)	applicable financial crime laws, regulations and ss and holds accounts.
: CA Indosuez Wealth (France)	(Bank name) understands the critical importance of having effective and protect its reputation and to meet its legal
CA Indosuez Wealth (France)	_ (Bank name)recognises the importance of transparency regarding parties to
transactions in international payments and has a	dopted/is committed to adopting these standards,
CA Indosuez Wealth (France)	_ (Bank name) further certifies it complies with/is working to comply with the
violishery Correspondent banking Principles at CA Indosuez Wealth (France)	nu use vvolfsberg Trade Finance Principles. The information provided in this Wolfsberg CBDDQ will be kept current and will be
	(Bank name) commits to file accurate supplemental information on a timely basis.
I, Es 2 142 understood this declaration, that the answers pr	(Global Head of Correspondent Banking or equivalent), certify that I have read and ovided in this Wolfsberg CBI
r	laration on behalf of
CYRIL HEAMOSO	(MLRO (CA Indosuez Wealth (France)
helief, and that I am authorised to execute this d	eclaration on behalf of (Bank name)
24/09/19	(Signature & Date)
24/09/19	(Signature & Date)

